

# Introduction to Mathematical Quantum Theory

## Solution to the Exercises

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### Exercise 1

Let  $\mathcal{H}$  be an Hilbert space. Let  $A$  and  $B$  linear operators on  $\mathcal{H}$  such that there exists  $\alpha \in \mathbb{C} \setminus \{0\}$  such that

$$[A, B] = \alpha \text{id}. \quad (1)$$

Prove that  $A$  and  $B$  cannot be both bounded.

*Hint: Assume both bounded; consider  $\|[A, B^n]\|$  and find an absurd.*

*Proof.* Assume that both  $A$  and  $B$  are bounded operators. Consider for any  $n \in \mathbb{N}$  the commutator between  $A$  and  $B^n$ . We have

$$[A, B^n] = [A, BB^{n-1}] = [A, B]B^{n-1} + B[A, B^{n-1}] = \alpha B^{n-1} + B[A, B^{n-1}].$$

We can then prove by induction that  $[A, B^n] = n\alpha B^{n-1}$ ; indeed if  $n = 1$  the statement is trivially true, and if we assume the statement to be true for  $n - 1$  we get

$$[A, B^n] = \alpha B^{n-1} + B[A, B^{n-1}] = \alpha B^{n-1} + B((n-1)\alpha B^{n-2}) = n\alpha B^{n-1}.$$

Consider now the norm of the commutator; we get

$$\begin{aligned} \|[A, B^n]\| &= \|AB^n - B^nA\| \leq 2\|A\|\|B^n\| \\ &\leq \|A\|\|B\|^n. \end{aligned}$$

Given that  $[A, B] \neq 0$  we can deduce that  $\|A\| \neq 0$ . We then get that

$$\|B^n\| \geq \frac{\alpha}{2\|A\|} n \|B^{n-1}\| \geq \dots \geq \left(\frac{\alpha}{2\|A\|}\right)^{n-1} n! \|B\| > 0$$

and from this we deduce that for any  $n \in \mathbb{N}$  we have  $B^n \neq 0$ . We then get

$$n|\alpha| \|B^{n-1}\| \leq 2\|A\|\|B^n\| \leq 2\|A\|\|B\|\|B^{n-1}\| \implies n|\alpha| \leq \|A\|\|B\|.$$

Given that the last inequality holds for any  $n$  this gives us a contradiction.

□

## Exercise 2

**a** Prove that for any  $\alpha \in \mathbb{C}$  such that  $\operatorname{Re}(\alpha) > 0$ ,

$$\left( \int_{\mathbb{R}} e^{-\frac{x^2}{2\alpha}} dx \right)^2 = \int_{\mathbb{R}^2} e^{-\frac{x^2+y^2}{2\alpha}} dx dy \quad (2)$$

$$= 2\pi\alpha, \quad (3)$$

where the integral over  $\mathbb{R}^2$  can be evaluated using polar coordinates. Deduce that

$$\int_{\mathbb{R}} e^{-\frac{x^2}{2\alpha}} dx = \sqrt{2\pi\alpha}, \quad (4)$$

where the square root is the one with positive real part.

**b** For all  $B \geq A > 0$  and  $\alpha \in \mathbb{C} \setminus \{0\}$  we have

$$\int_A^B e^{-\frac{x^2}{2\alpha}} dx = -\frac{\alpha}{x} e^{-\frac{x^2}{2\alpha}} \Big|_A^B - \int_A^B \frac{\alpha}{x^2} e^{-\frac{x^2}{2\alpha}} dx. \quad (5)$$

Using this, prove that the integral in (4) is convergent for all nonzero  $\alpha$  with  $\operatorname{Re}(\alpha) \geq 0$ , provided the integral is interpreted as a principle value when not absolutely convergent, where the principal value is defined as

$$\operatorname{PV} \int_{\mathbb{R}} f(x) dx := \lim_{R \rightarrow \infty} \int_{-R}^R f(x) dx. \quad (6)$$

**c** Prove that the result of **a** is also valid for nonzero values of  $\alpha$  with  $\operatorname{Re}(\alpha) = 0$ , at least in the principal value.

*Hint: Given  $\eta \neq 0$ , show that the principal value from  $A$  to  $+\infty$  of  $\exp\left[-\frac{x^2}{2(\gamma+i\eta)}\right]$  is small for large  $A$ , uniformly in  $\gamma \in [0, 1]$ .*

**d** Prove that

$$\frac{1}{2\pi} \operatorname{PV} \int_{\mathbb{R}} e^{ikx} e^{-i\frac{\hbar t}{2m}k^2} dk = \sqrt{\frac{m}{2\pi i\hbar t}} e^{i\frac{m}{2\hbar t}x^2}, \quad (7)$$

where the square root is the one with real positive part.

*Proof.* We start by proving point **a**. Using polar coordinates we get

$$\left( \int_{\mathbb{R}} e^{-\frac{x^2}{2\alpha}} dx \right)^2 = \int_{\mathbb{R}^2} e^{-\frac{x^2+y^2}{2\alpha}} dx dy = 2\pi \int_0^{+\infty} e^{-\frac{\rho^2}{2\alpha}} \rho d\rho = -2\pi\alpha e^{-\frac{\rho^2}{2\alpha}} \Big|_0^{+\infty} = 2\pi\alpha.$$

To recover the integral we want is enough to apply the square root, and given that for real values of  $\alpha$  the integral we get is positive, we choose the positive determination of the square root to get

$$\int_{\mathbb{R}} e^{-\frac{x^2}{2\alpha}} dx = \sqrt{2\pi\alpha}.$$

To prove **b** we first use (5) to estimate the principal value. Fix  $A > 0$ ; then we get

$$\begin{aligned} \text{PV} \int_{\mathbb{R}} e^{-\frac{x^2}{2\alpha}} dx &= \lim_{R \rightarrow +\infty} \int_{-R}^R e^{-\frac{x^2}{2\alpha}} dx = \int_{-A}^A e^{-\frac{x^2}{2\alpha}} dx + 2 \lim_{R \rightarrow +\infty} \int_A^R e^{-\frac{x^2}{2\alpha}} dx \\ &= \int_{-A}^A e^{-\frac{x^2}{2\alpha}} dx + 2 \lim_{R \rightarrow +\infty} \left[ -\frac{\alpha}{x} e^{-\frac{x^2}{2\alpha}} \Big|_A^R - \int_A^R \frac{\alpha}{x^2} e^{-\frac{x^2}{2\alpha}} dx \right] \\ &= \int_{-A}^A e^{-\frac{x^2}{2\alpha}} dx + 2 \lim_{R \rightarrow +\infty} \left[ -\frac{\alpha}{R} e^{-\frac{R^2}{2\alpha}} + \frac{\alpha}{A} e^{-\frac{A^2}{2\alpha}} - \int_A^R \frac{\alpha}{x^2} e^{-\frac{x^2}{2\alpha}} dx \right]. \end{aligned}$$

Now using that  $\text{Re}(\alpha) \geq 0$  we have that

$$\left| e^{-\frac{R^2}{2\alpha}} \right| \leq e^{-\text{Re}\left(\frac{R^2}{2\alpha}\right)} \leq 1, \quad \left| \frac{1}{x^2} e^{-\frac{R^2}{2\alpha}} \right| \in L^1(A, +\infty)$$

and applying this to the limit we get that

$$\text{PV} \int_{\mathbb{R}} e^{-\frac{x^2}{2\alpha}} dx = \int_{-A}^A e^{-\frac{x^2}{2\alpha}} dx + \frac{2\alpha}{A} e^{-\frac{A^2}{2\alpha}} - \int_A^{+\infty} \frac{2\alpha}{x^2} e^{-\frac{x^2}{2\alpha}} dx,$$

and therefore the integral is convergent for any  $\alpha$  with  $\text{Re}(\alpha) \geq 0$ .

To prove **c** is enough to consider  $\alpha = i\eta$  with  $\eta \in \mathbb{R} \setminus \{0\}$ . In this case we get

$$\begin{aligned} \text{PV} \int_{\mathbb{R}} e^{i\frac{x^2}{2\eta}} dx &= \lim_{R \rightarrow +\infty} \int_{-R}^R e^{i\frac{x^2}{2\eta}} dx = \lim_{R \rightarrow +\infty} \int_{-R}^R \lim_{\gamma \rightarrow 0^+} e^{-\frac{x^2}{2(\gamma+i\eta)}} dx \\ &= \lim_{R \rightarrow +\infty} \lim_{\gamma \rightarrow 0^+} \int_{-R}^R e^{-\frac{x^2}{2(\gamma+i\eta)}} dx, \end{aligned}$$

where in the last equality we could bring the limit outside of the integral because the integrand is uniformly bounded in modulus by 1 which is integrable in  $[-R, R]$ . From the formula above and from the fact that now  $\text{Re}(\gamma + i\eta) > 0$  we now have that

$$\int_{-R}^R e^{-\frac{x^2}{2(\gamma+i\eta)}} dx = \sqrt{2\pi(\gamma + i\eta)} - 2 \int_R^{+\infty} e^{-\frac{x^2}{2(\gamma+i\eta)}} dx.$$

Moreover we can assume that  $\gamma \in [0, 1]$  and use **b** to get that

$$\begin{aligned} \left| \int_R^{+\infty} e^{-\frac{x^2}{2(\gamma+i\eta)}} dx \right| &= \lim_{L \rightarrow +\infty} \left| \int_R^L e^{-\frac{x^2}{2(\gamma+i\eta)}} dx \right| \\ &= \lim_{L \rightarrow +\infty} \left| -\frac{\gamma + i\eta}{L} e^{-\frac{L^2}{2(\gamma+i\eta)}} + \frac{\gamma + i\eta}{R} e^{-\frac{R^2}{2(\gamma+i\eta)}} - \int_R^L \frac{\gamma + i\eta}{x^2} e^{-\frac{x^2}{2(\gamma+i\eta)}} dx \right| \\ &= \left| \frac{\gamma + i\eta}{R} e^{-\frac{R^2}{2(\gamma+i\eta)}} - \int_R^{+\infty} \frac{\gamma + i\eta}{x^2} e^{-\frac{x^2}{2(\gamma+i\eta)}} dx \right| \\ &\leq \frac{4}{R} |\gamma + i\eta| e^{-\frac{R^2}{2} \text{Re}\left(\frac{1}{\gamma+i\eta}\right)} \leq \frac{4\sqrt{1+\eta^2}}{R}, \end{aligned}$$

and therefore, passing to the limit we get

$$\left| \lim_{R \rightarrow +\infty} \lim_{\gamma \rightarrow 0^+} \int_R^{+\infty} e^{-\frac{x^2}{2(\gamma+i\eta)}} dx \right| \leq \lim_{R \rightarrow +\infty} \lim_{\gamma \rightarrow 0^+} \frac{4\sqrt{1+\eta^2}}{R} = 0.$$

As a consequence we get that

$$\text{PV} \int_{\mathbb{R}} e^{i \frac{x^2}{2\eta}} dx = \lim_{R \rightarrow +\infty} \lim_{\gamma \rightarrow 0^+} \sqrt{2\pi(\gamma + i\eta)} = \sqrt{2\pi i\eta},$$

which concludes the proof of **c**.

To prove **d** we first notice that

$$\frac{\hbar t}{2m} k^2 - kx = \frac{\hbar t}{2m} \left( k - \frac{mx}{\hbar t} \right)^2 - \frac{mx^2}{2\hbar t}.$$

Using **c** we then get

$$\begin{aligned} \frac{1}{2\pi} \text{PV} \int_{\mathbb{R}} e^{ikx} e^{-i \frac{\hbar t}{2m} k^2} dk &= \frac{e^{i \frac{m}{2\hbar t} x^2}}{2\pi} \text{PV} \int_{\mathbb{R}} e^{-i \frac{\hbar t}{2m} k^2} dk \\ &= \sqrt{\frac{m}{2\pi i\hbar t}} e^{i \frac{m}{2\hbar t} x^2}, \end{aligned}$$

which concludes our proof.  $\square$

### Exercise 3

Consider a separable Hilbert space  $\mathcal{H}$  and a complete orthonormal system for it  $\{\varphi_n\}_{n \in \mathbb{N}}$ . Assume that  $\varphi_\infty$  cannot be written as a finite linear combination of elements of  $\{\varphi_n\}_{n \in \mathbb{N}}$ . Let  $D$  denote the dense linear subspace of  $\mathcal{H}$  consisting of all finite linear combinations of elements of  $\{\varphi_n\}_{n \in \mathbb{N}}$  and of  $\varphi_\infty$ . On  $D$  define the operator  $T : D \rightarrow \mathcal{H}$  defined as

$$T \left( \alpha_\infty \varphi_\infty + \sum_{n \in \mathbb{N}} \alpha_n \varphi_n \right) := \alpha_\infty \varphi_\infty. \quad (8)$$

Prove that  $T$  is not bounded.

*Hint: Use the closed graph theorem.*

*Proof.* Suppose that  $T$  is bounded. Given that  $D$  is dense in  $\mathcal{H}$ , we can define  $\tilde{T}$  an extension of  $T$  to  $\mathcal{H}$ . Consider now the graph of  $\tilde{T}$ ; given that  $\{\varphi_n\}_{n \in \mathbb{N}}$  is a complete orthonormal system there exists a sequence  $\{\beta_n\}_{n \in \mathbb{N}}$  such that

$$\lim_{N \rightarrow +\infty} \sum_{n=0}^N \beta_n \varphi_n = \varphi_\infty.$$

Recall the definition of  $G(\tilde{T}) := \{(\psi, \tilde{T}\psi) \mid \psi \in \mathcal{H}\} \subseteq \mathcal{H} \times \mathcal{H}$ . Given that we have that

$$T \left( \sum_{n=0}^N \beta_n \varphi_n \right) = 0,$$

we get that  $(\sum_{n=0}^N \beta_n \varphi_n, 0) \in G(\tilde{T})$ , and as a consequence  $(\varphi_\infty, 0) \in \overline{G(\tilde{T})}$ . On the other hand, by definition of  $T$  we get that  $T\varphi_\infty = \varphi_\infty$ , and therefore that  $(\varphi_\infty, 0) \notin G(\tilde{T})$ . For this reason we get that  $G(\tilde{T}) \neq \overline{G(\tilde{T})}$ . On the other hand  $\tilde{T}$  is trivially linear on  $\mathcal{H}$ , so we can apply the closed graph theorem to imply that  $T$  cannot be bounded.

□

#### Exercise 4

Recall the definition of  $H^2(\mathbb{R})$  as

$$H^2(\mathbb{R}) := \left\{ \psi \in L^2(\mathbb{R}) \mid k^2 \hat{\psi} \in L^2(\mathbb{R}) \right\}$$

Recall that in class we defined the map that to any initial datum  $\psi_0 \in L^2(\mathbb{R})$  would associate  $\psi_t := \tilde{U}_0(t) \psi_0$ , defined via the Hamiltonian  $H_0 := -\frac{\partial^2}{\partial x^2}$  with domain  $\mathcal{D}(H_0) = H^2(\mathbb{R})$ . Indeed if  $U_0(t) \psi_0$  is defined for any  $\psi_0 \in \mathcal{S}(\mathbb{R})$  as the unique solution to

$$\begin{cases} i\hbar \partial_t (U_0(t) \psi_0) = H_0 U_0(t) \psi_0 \\ U_0(t) \psi_0|_{t=0} = \psi_0, \end{cases} \quad (9)$$

then  $\tilde{U}_0(t)$  is defined by density on the whole space  $L^2(\mathbb{R})$ , and coincides with  $U_0(t)$  on  $\mathcal{S}(\mathbb{R})$ .

Prove that if  $\psi_0 \in \mathcal{D}(H_0)$  then  $\psi_t \in \mathcal{D}(H_0)$ .

*Proof.* We saw in class that  $\tilde{U}_0(t)$  has an explicit form; indeed for any  $\psi_0 \in L^2(\mathbb{R})$  we get that

$$\mathcal{F}(\tilde{U}_0(t) \psi_0)(k) = e^{-i \frac{\hbar t}{2m} k^2} \hat{\psi}_0(x),$$

where  $\mathcal{F}$  indicates the Fourier transform operator

Now, if  $\psi_0 \in H^2(\mathbb{R})$ , we get by definition that  $k^2 \psi_0 \in L^2(\mathbb{R})$ . As a consequence we also get  $k^2 \mathcal{F}(\tilde{U}_0(t) \psi_0) \in L^2(\mathbb{R})$ , and therefore  $\tilde{U}_0(t) \psi_0 \in H^2(\mathbb{R})$ .

□